## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Isao OTA et al.

Group Art Unit: 1755

Application No.: Rule 53(b) Continuation of Application No. 09/980,685 Examiner:

M. Marcheschi

Filed: October 6, 2003

Docket No.: 111398.01

For:

ABRASIVE COMPOUND FOR GLASS HARD DISK PLATTER

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- This Information Disclosure Statement is being filed (a) within three months of 冈 the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- The references were cited by or submitted to the Office in parent application No. X 09/980,685, filed December 7, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,

Registration No. 2√,075

Joel S. Armstrong

Registration No. 36,430

JAO:JSA/ldg

Date: October 6, 2003

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE AUTHORIZATION** Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461

Sheet	1	of	2	
	-			

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DO 111398.0	DOCKET NO. .01		APPLICATION NO. Rule 53(b) Continuation of	
INFORMATION DISCLOSURE STATEMENT					Application No. 09/980,685			
(Use several sheets if necessary)		APPLICANT(S) Isao OTA et al.						
			FILING DATE December 7, 2001			GROUP 1755		
		U.S.	PAT	ENT DOCU	MENTS			
EXAMINER INITIAL		DOCUMENT NUMBER		DATE	NAME		CLASS	SUB CLASS
	1	5,772,780	6/1998 7/1998 8/1996 4/2001		Homma et al.	_		
	2	5,783,489			Kaufman et al.			
	3	5,543,126			Ota et al.			
	4	6,221,118			Yoshida et al.			
	5	6,120,571	9/2	000	Aihara et al.			
	6	6,454,821	9/2002 11/2002 2/2002		Abbasi et al.			
	7	6,478,836			Kido et al.			
	8	6,343,976			Yoshida et al.			
	9	6,420,269	7/2	.002	Matsuzawa et al.			
	10	6,372,003	4/2	.002	Kasai et al.			
							_	
	_	FOREIG	GN P	ATENT DO	OCUMENTS		1 "	
		DOCUMENT NUMBER		DATE	DATE COUNTRY		CLASS	SUB CLASS
				<u>.                                    </u>		<u>.</u> .		
			<u> </u>					
	_	OTHER DOCUMENTS (In	cludi	ing Author,	Title, Date, Pertinent Pages, etc	i.)		
			_	-				
	-					-		
EXAMINER					DA	TE CON	SIDERED	
Examiner: I	nitial i	f citation considered, whether or not c	itatio	on is in cor	nformance with M.P.E.P. 609;	draw lin	ne through citati	ion if not in
CAMILITIES.	onform	nance and not considered. Include copy	of th	is form with	next communication to applica	ant.		

Date: October 6, 2003

Sheet	2	of	2

Form PTO-1449 US Dept. of Commerce (REV. 8-83) PATENT & TRADEMARK OFFICE			ATTY DOCKET NO. 111398			APPLICATION NO. Rule 53(b) Continuation of Application No. 09/980,685			
INFORMATION DISCLOSURE STATEMENT							Yppii		2. 200,000
(Use several sheets if necessary)				APPLICANT(S) Isao OTA et al.					
				FILING DATE December 7, 2001			GROUP 1755		
		U.S. P	ATEN	T DOCUI	MENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DA	ATE .		NAME		CLASS	SUB CLASS
					··-				
	1	FOREIGN	N PAT	ENT DO	CUMENTS			<u> </u>	
		DOCUMENT NUMBER	DA	ATE		COUNTRY	_	CLASS	SUB CLASS
	11	JP A 5-326469	12/1993		Japan			_	
	12	JP B2 2864451	12/1998		Japan				
	13	JP A 11-60282	03/1999		Japan				
	14	WO 98/21289	05/1998		WIPO				
	15	WO 99/31195	06/1999		WIPO				
	16	JP A 8-3541	01/1996		Japan				
	17	JP A 9-142840	06/1997		Japan				
	18	JP A 8-134435	05/1996		Japan				
	19	JP A 2000-38572	02/20	00	Japan				
		OTHER DOCUMENTS (Incl	luding	Author,	Title, Date, Perti	nent Pages, etc.)			· · · - ·
							-		
EXAMINER	EXAMINER DATE CONSIDERED								
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Date: October 6, 2003